# The Use of U.S. Customs to Enforce the Client's IP Rights

Tools Available to Tackle the Problem of Counterfeiting in the United States

Presented by: Jeffrey H. Kaufman

Oblon, Spivak, McClelland, Maier & Neustadt – Alexandria, Virginia Copyright © 2005 Oblon, Spivak.



#### Recordation Process

- It is now possible to electronically file IPR recordation applications
- It is no longer necessary to submit certified copies of trademark or copyright registrations.

#### Recordation Process

- Applicant can pay by credit card (preferred), check or money order.
- No need to send samples of the work to CBP because an applicant can upload images of the protected work or trademark
- The time from filing of the application to enforcement by field personnel should be reduced.

#### **IPRR** INTELLECTUAL PROPERTY RIGHTS e-RECORDATION

Welcome to the Intellectual Property Rights e-Recordation (IPRR) application.



This new method for filing initial trademark and copyright recordation applications will greatly decrease the amount of time and paperwork normally required, thus providing more timely enforcement of your intellectual property rights. (\* Please note: An electronic application for trademark and copyright "renewals" and "tradename" recordations is not yet available. For additional information, please review the "Renewals" and "Tradename" sections at the bottom of this page.)

The filing of this electronic application will begin the administrative recordation process with CBP. A separate application is required for each recordation sought. Applications will be processed in the order in which they are received. The recordation fee for copyrights is \$190. The recordation fee for trademarks is \$190 per International Class of goods. By submitting this application on-line, you agree to supply the documents specified in 19 CFR 133.3 for trademarks, and 19 CFR 133.33 for copyrights, upon request by CBP. Failure to do so will result in your recordation being suspended pending receipt of the documents.

#### **TPRR** INTELLECTUAL PROPERTY RIGHTS e-RECORDATION

#### We recommend that you have the following materials and information readily available before you begin the application process:

- U.S. Patent & Trademark Office Registration Number or the U.S. Copyright Office Registration Number
- Digital images of the protected mark/work in ".jpg" or ".gif" format that accurately depict the right to be protected. Individual image files are limited to 2MB.
- Familiarization with the applicable regulations
  - ► Trademarks: 19 CFR 133.1 et seq.
  - ► Copyrights: 19 CFR 133.31 et seq.

#### RENEWALS OF EXISTING TRADEMARK AND COPYRIGHT RECORDATIONS

To renew your existing trademark or copyright recordation, please submit an e-mail message to <a href="mailto:iprr.questions@dhs.qov">iprr.questions@dhs.qov</a> containing the information provided for in 19 CFR 133.7 and 19 CFR 133.37, respectively. You will be contacted by a representative of the IPR Branch regarding payment of the recordation fee and, if applicable, for additional required information. Note that credit card payment for renewals is not yet available.

#### TRADENAME RECORDATIONS

To apply for a tradename recordation, please submit an e-mail message to <a href="mailto:iprr.questions@dhs.gov">iprr.questions@dhs.gov</a> containing the information provided for in 19 CFR 133.11 et seq. You will be contacted by a representative of the IPR Branch regarding payment of the recordation fee and, if applicable, for additional required information. Note that credit card payment for renewal is not yet available.

\* Please note: The system is set to time-out after 30 minutes of inactivity. Proceeding through the application resets the 30-minute timer on each page. Please complete the application before exiting the system. Incomplete applications will not be processed.





Proceed with Trademark Recordation



Proceed with Copyright Recordation

#### TPRR INTELLECTUAL PROPERTY RIGHTS e-RECORDATION

U.S. Patent and Trademark Office Registration Number

2935665

e.g.,(0,000,000)

Proceed



#### TPRR INTELLECTUAL PROPERTY PROPERTY PROPERTY

#### Is this the Trademark you wish to record?

is this the Trademark you wish to record:	
Word Mark	YU-GI-OH!
Translations	The English translation of "YU-GI-OH" is "King of the Game".
Goods and Services	IC 016. US 002 005 022 023 029 037 038 050. G & S: Playingcards; trading cards; calendars; posters; game instruction manuals; computer game instruction manuals; game instructions sheets; scoringsheets; books, namely, strategy guides; stationery. FIRST USE:19990204. FIRST USE IN COMMERCE: 20000120
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	76071881
Filing Date	June 16, 2000
Current Filing Basis	1A
Original Filing Basis	1B
Published for Opposition	March 4, 2003
Registration Number	2935665
Registration Date	March 29, 2005
Owner	(REGISTRANT) KONAMI CORPORATION CORPORATION JAPAN 2-4-1,Marunouchi, Chiyoda-ku Tokyo JAPAN
Attorney of Record	Jeffrey H. Kaufman
Type of Mark	TRADEMARK
Register	PRINCIPAL
Live/Dead Indicator	LIVE



#### What's New

The total number of searchable IPR recordations in this database is 29536.

The most recent recordation effective date is 10/13/2005

The oldest recordation effective date is 12/26/1945.

#### About the Intellectual Property Rights Search (IPRS)

Note: The IPRS database is updated nightly (except Saturday and Sunday) at approx. 4:00 a.m. eastern standard time. Those basing import/exports transactions based upon data contained within IPRS are reminded that recordation information is updated by CBP IPR Branch personnel daily.

#### Related IPRS Information

U.S Customs & Border Protection (CBP) IPR Enforcement

Please submit any technical concerns related to IPRS to CBP website technical questions.

KEYBRD SHORTCUTS

Recordation No. Type

Copyright

Copyright

Copyright

Copyright

Copyright

Copyright

Copyright

DHS.gov

#### INTELLECTUAL PROPERTY Konami Keyword RIGHTS SEARCH

Product

Trademarks

Title

NEXT

YU-GI-OH!TRADING CARDS

15025844 (PICTURE OF

TRADING CARD 474053

YU-GI-OH!TRADING CARD

83764718 (PICTURE OF A

TRADING CARD DESIGN

YU-GI-OH!TRADING CARD

71625222 (PICTURE OF

YU-GI-OH! VOLUME 7

YU-GI-OH!TRADING CARD

TRADING CARD DESIGN

YU-GI-OH!TRADING CARD

43973174 (PICTURE OF A

TRADING CARD DESIGN

YU-GI-OH! VOLUME 6

COVER ART 474036

1795575 (PICTURE OF

COSTUMED

474034

DRAGON

474059

COVER ART 474035

CLOCK MONSTE TRADING CARD DESIGN

ALL

Show All

Title

Product

BLUE WOMAN

BLUE ANKH

474061

474062

Search

Filter

Effective

4/11/2003

4/11/2023

4/10/2003

4/10/2023

4/10/2003

4/10/2023

4/10/2003

4/10/2023

4/10/2003

4/10/2023

4/10/2003

4/10/2023

4/10/2003

4/10/2023

Expiration

Description

Copyrights

Description

MAM MAN

YU-GI-OH! TRADING CARDS

PICTURE OF A BLUE ANKH

15025844 (PICTURE OF BLUE

YU-GI-OH!TRADING CARD 83764718

YU-GI-OH!TRADING CARD 718522

PICTURE OF A CLOCK MONSTER

YU-GI-OH! VOLUME 7, COVER ART

YU-GI-OH!TRADING CARD 17985575

(PICTURE OF COSTUMED WIZARD)

YU-GI-OH!TRADING CARD 4393174

YU-GI-OH! VOLUME 6, COVER ART

(PICTURE OF A DRAGON HEAD)

Owner

Tradenames

(GO) Contact Name Firm Name

**Exclusion Orders** 

Owner

KONAMI

KONAMI

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CORPORATION

CORPORATION

CORPORATION

CORPORATION

CORPORATION

CORPORATION

CORPORATION

Recordation No. **Exclude Expired** 

**Contact Name** 

OBLON SPIVAK

MCCLELLAND

JEFFREY H.

JEFFREY H.

JEFFREY H.

JEFFREY H.

JEFFREY H.

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OBLON SPIVAK

MCCLELLAND

KAUFMAN, ESQ.

KAUFMAN, ESQ.

KAUFMAN, ESQ.

KAUFMAN, ESQ.

Agency Registration No. Include Expired

Firm Name

P.C.

MAIR & NEUSTADT.

OBLON, SPIVAK,

OBLON, SPIVAK,

OBLON, SPIVAK,

OBLON, SPIVAK,

OBLON, SPIVAK,

MAIR & NEUSTADT.

MCCLELLAND

P.C.

MCCLELLAND

MCCLELLAND

MCCLELLAND.

MCCLELLAND

HELP | ABOUT | PRINT |

Agency No.

COP 03-00060

VA 1-046-170

COP 03-00034

VA 1-046-178

COP 03-00035

COP 03-00038

VA 1-046-152

COP 03-00037

COP 03-00032

VA 1-046-176

COP 03-00039

VA 1-046-153

VA 1-046-151

VA 1-046-179

Results [1 - 10] of 171 records | Search took 0.42 Seconds

OBLON SPIVAK MCCLELLAND

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**Contact Name** 

**Phone Number** 



## Involving Customs in the Anti-Counterfeiting Effort

- Recordation of trademarks, registered copyrights and non-registered trade names
- Recordation is not mandatory, but substantially increases the chances of Customs detaining and seizing counterfeit items

#### Recordation Process

- -Place of manufacture of goods
- Lists of authorized licenses, subsidiaries, and other authorized users or importers of the relevant goods
- -Filing fees

#### Recordation Process

- Complete recordation process using e-forms provided by Customs
- The information provided is put into a national U.S. Customs database and is available at all ports
- Further, specific information regarding known infringements can also be included in the database

## **Trade Names**

- The trade name to be recorded.
- Whether the trade name has been used for at least six months.
- If the trade name to be recorded if other than a complete business name, provide convincing proof to establish that only one part of the name is customarily used.

## **Trade Names**

- The name, complete business address, and citizenship of the trade name owner(s).
- Information regarding the applicant and its business entity
- Identify what information, if any, you are claiming as confidential or privileged.

#### Recordation Process

- The paper recordation process generally took about six weeks.
- The new electronic system should be much quicker.
- Once recordation is complete, a confirmation letter is sent to the rights owner.

- Goods arrive at the Customs port of entry
- Customs inspectors may detain merchandise at the time of the merchandise's arrival in the United States
- Customs may examine, take samples from, detain, and deny entry of imported goods bearing marks suspected of copying or simulating a registered mark.

- Counterfeit 19 C.F.R. § 133.21
  - -"a spurious trademark that is identical to, or substantially indistinguishable from" a registered mark
  - Seized goods will be forfeited absent consent of the trademark owner
  - -Customs can impose civil fines
  - Recordation not mandatory

 Counterfeit goods are seized and, in the absence of written consent of the owner, forfeited for violation of customs laws

- Upon seizure, Customs provides the following information to the trademark owner:
  - The date of importation
  - —The port of entry
  - A description of the goods
  - The quantity involved

- The name and address of the manufacturer
- The country of origin of the merchandise
- The name and address of the exporter; and
- The name and address of the importer

# Categories for Seizures - Trademarks

- Confusingly Similar 15 U.S.C. § 1124
  - A "copying or simulating trademark" is one which may so resemble a recorded mark as to be likely to cause the public to associate the copying or simulating mark with the recorded mark.
  - Recordation is mandatory
  - Infringing goods are detained, not seized.

- Confusingly Similar Marks
- Under 19 CFR § 133.22, such goods shall be detained for 30 days during which time the importer shall be afforded the opportunity, before expiration of the 30-day period, to take corrective action.

 A physical sample of the seized item may also be sent to the trademark holder, but only after the trademark holder has posted a bond sufficient to cover the value of the sample.

## Seizure

- If the importer files a claim and cost bond Customs must forward the case to the U.S. Attorney's Office for review and possible filing of a forfeiture complaint against the property
- The importer can also file a petition to challenge the seizure

## Thank you.

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